

LPL New Account Form

Essential details for opening and managing your investment account securely.

Personal Information

Name: _____ Social Security #: _____ Mobile Phone: _____
Home Phone: _____ Home Address: _____
Email Address: _____ Date of Birth: _____ # of Dependents: _____

Employment Information

Occupation: _____ Work Address: _____
Employer Name: _____

Financial Information

Enter the letter that corresponds to the correct range:

Annual Income: _____
Net Worth (exclusive of primary residence): _____
Liquid Net Worth: _____

Income & Net Worth Ranges:

- | | |
|------------------------|-----------------------------|
| A. \$1-\$24,999 | E. \$250,000-\$499,999 |
| B. \$25,000-\$49,999 | F. \$500,000-\$999,999 |
| C. \$50,000-\$99,999 | G. \$750,000-\$999,999 |
| D. \$100,000-\$249,999 | H. \$1,000,000-\$49,999,999 |

Investment Experience # Yrs. _____ Years of Experience in: Annuities: _____ Mutual Funds: _____
Partnerships: _____ Margin: _____ Stocks: _____ Bonds: _____ Options: _____
Investment Time Horizon: _____ 1-3 Yrs. _____ 3-5 Yrs. _____ 5-10 Yrs. _____ More than 10 years

Asset & Investment Allocation (by percentage)

_____ Real Estate _____ Mutual Funds _____ Checking/Savings _____ Annuities _____ Insurance
_____ Equities _____ Alternative Investments _____ Bonds _____ Other (Explain) _____

Trusted Contact Person

Name: _____ Relationship: _____ Address: _____
Email: _____ Phone Number: _____

Beneficiaries (Primary)

Name: _____ | Relationship: _____ | DOB: _____ | %: _____
Name: _____ | Relationship: _____ | DOB: _____ | %: _____

Beneficiaries (Contingent)

Name: _____ | Relationship: _____ | DOB: _____ | %: _____
Name: _____ | Relationship: _____ | DOB: _____ | %: _____



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